

PATENT

Case Docket No. LOMAU.138A

Date: February 6, 2004

# IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s)

Zhu, et al.

Appl. No.

09/921,158

Filed

August 1, 2001

For

METHOD AND APPARATUS

FOR CLOSING VASCULAR

**PUNCTURE USING** 

HEMOSTATIC MATERIAL

Examiner

Paul A. Roberts

Group Art Unit:

. 3731

I hereby certify that this correspondence and all marked attachments are being deposited with the United States Postal Service as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, on

February 6, 2004

Clen L. Nutrall, Reg. No. 46,188

### TRANSMITTAL LETTER

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

### Dear Sir:

Enclosed for filing in the above-identified application are:

- (X) An Information Disclosure Statement.
- (X) A PTO Form 1449 with eighteen (18) references.
- (X) A check in the amount of \$180 to cover the above fee is enclosed.
- (X) The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment, to Account No. 11-1410.
- (X) Return prepaid postcard.

Glen L. Nuttall

Registration No. 46,188

Attorney of Record

Customer No. 20,995

(949) 760-0404



## INFORMATION DISCLOSURE STATEMENT

pplicant

Docket No.: LOMAU.138A

: Yong Hua Zhu, et al.

App. No.

: 09/921,158

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: August 1, 2001

For

METHOD AND APPARATUS FOR CLOSING VASCULAR PUNCTURE USING HEMOSTATIC MATERIAL

Examiner

Paul A. Roberts

Group Art Unit

3731



Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Dear Sir:

Enclosed is form PTO-1449 listing eighteen (18) references that are also enclosed.

This Information Disclosure Statement is being filed after the mailing date of a final action under 37 C.F.R. § 1.113 or after the mailing date of a Notice of Allowance under § 1.311. This Statement is accompanied by the fee set forth in 37 C.F.R. § 1.17(p). The Commissioner is hereby authorized to charge any additional fees which may be required or to credit any overpayment to Account No. 11-1410. A certification under 37 C.F.R. § 1.97(e) is set forth below.

### CERTIFICATION UNDER 37 C.F.R. § 1.97(e)(2)

I hereby certify that no item of information contained in this Statement was cited in a communication from a foreign Patent Office in a counterpart foreign application, and, to my knowledge after making reasonable inquiry, was known to any individual designated in 37 C.F.R. § 1.56(c) more than 3 months prior to the filing of this Information Disclosure Statement.

Respectfully submitted,

KNOBBE, MARTENS, OLSON & BEAR, LLP

Dated:

Gen L. Nuttall

Registration No. 46,188 Attorney of Record Customer No. 20,995

(949) 760-0404

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U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE

ATTY. DOCKET NO. LOMAU.138A

APPLICATION NO. 09/921,158

ON DISCLOSURE STATEMENT
BY APPLICANT

APPLICANT Zhu, et al.

TRADE TRADE SEVERAL SHEETS IF NECESSARY)

FILING DATE
August 1, 2001

GROUP 3731

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				U.S. PATENT DOCUMENTS		, 1 <u>C</u> (	EIVE	PA	
EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CHASS	SUBCLASS	FILING	DATE OPRIATE)	
	1	6,197,042	03/06/01	Ginn, et al.		SUBCLASS 9 FILING DATE (IR 67) PROPRIATE)			
	2	6,277,140	08/21/01	Ginn, et al.			- CA R37	00	
	3	6,391,048	05/21/02	Ginn, et al.					
	4	6,461,364	10/08/02	Ginn, et al.					
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	6	6,626,918	09/30/03	Ginn, et al.					
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	8	US 2002/0002386 A1	01/03/02	Ginn, et al.					
	9	US 2002/0072768 A1	06/13/02	Ginn					
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	13	US 2003/0023267 A1	01/30/03	Ginn					
	14	US 2003/0045893 A1	03/06/03	Ginn					
	15	US 2003/0050665 A1	03/13/03	Ginn			,		
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	17	US 2003/0158577 A1	08/21/03	Ginn, et al.				_	
	18	US 2003/0158578 A1	08/21/03	Pantages, et al.					
FOREIGN PATENT DOCUMENTS									
EXAMINER		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSI	 _ATION	
INITIAL							YES	NO	
	$\exists$								
EXAMINER INITIAL	OTHER DOCUMENTS (INCLUDING AUTHOR, TITLE, DATE, PERTINENT PAGES, ETC.)								

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EXAMINER	DATE CONSIDERED

\*EXAMINER: INITIAL IF CITATION CONSIDERED, WHETHER OR NOT CITATION IS IN CONFORMANCE WITH MPEP 609; DRAW LINE THROUGH CITATION IF NOT IN CONFORMANCE AND NOT CONSIDERED, INCLUDE COPY OF THIS FORM WITH NEXT COMMUNICATION TO APPLICANT.